



1.2

\* Required only if you selected "No" in question 1.1 above.

**Effective control through other means** - If the capital shares or voting rights cannot be determined or in case there are no capital shares or voting rights of 5% or more, the Entity hereby declares that the person/s listed below is/are controlling the contracting partner in other ways.

Do any individuals possess voting rights that would allow them to influence or control decisions within the entity, even though they do not hold 5% or more of the shares?

No

Yes (if yes, please complete the below)

Please supply such supporting documentation as may be required (e.g. share certificates, official ownership records, ownership structures, contracts or arrangements showing control through other entities).

Full Name	Particulars of Indirect Ownership	Supporting Documentation Provided (e.g. share certificate)

1.3

\* Required only if you selected "No" in both question 1.1 AND 1.2 above.

**Control through Management** - If no direct or indirect ownership can be determined or this/these person/s does/do not exist, the Entity hereby declares that the person/s listed below is/are exercising control through management of the entity.

Is there an absence of direct or indirect ownership? (There is no natural person holding more than 5% of the shares and there is no natural person who possesses voting rights that would allow them to influence or control decisions within the entity.)

No

Yes (if yes, please complete the below)

The following individual(s) have control through management: (Please include details of the CEO, COO, CFO, MD and any other individuals who you deem to have control through management).

Please supply such supporting documentation: (e.g. minutes of board meetings, governance documents)

Full Name	Position in the Organisation	Supporting Documentation Provided (e.g. minutes of board meetings)

## Section 2

- 2.1 Do any agreements, coalitions or arrangements exist between any shareholders that impact their voting rights?  No  Yes (if yes, please provide details in the space provided below)
- 2.2 Are there any individuals with a direct right to appoint or remove majority of the board, not already named in Section 1?  No  Yes (if yes, please provide details in the space provided below)
- 2.3 Are there any individuals without ownership who exercise control over the entity by close family relationships or contractual associations?  No  Yes (if yes, please provide details in the space provided below)
- 2.4 Are there any individuals without ownership who exercise control over the entity by loan default or an act of law - e.g. curator or business rescue practitioner that steps into the business?  No  Yes (if yes, please provide details in the space provided below)
- 2.5 Are there any individuals who exercise control through other means not mentioned above, not already named in Section 1?  No  Yes (if yes, please provide details in the space provided below)

If you answered Yes for any of the questions 2.1 – 2.5 above, please provide additional details below:

**You warrant to and for the benefit of Investec that you will notify Investec forthwith of any material change in any information provided to us above.**

Signed on behalf of

Entity Name

who warrants that he/she is duly authorised

Full Name and Surname:

Full Name and Surname:

Designation:

Designation:

Signature:

Signature:

Place:

Place:

Date:

Date: